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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.		
	25886-0094	10/607,222	8140		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT	CUSTOMER N	JSTOMER NO.		
	Pandey t al.	24961	961		
STATEMENT	FILING DATE June 27, 2003	GROUP 1626			

^{*} If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	OCUM	IENT N	NUMB	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
TKP	ET	6	2	6	1	5	9	5	07/17/01 B1	Stanley et al.	424	449	02/29/00
1	EU	6	2	6	4	9	1	4	07/24/01 B1	Klaveness et al.	424	1.65	04/28/99
	EV	6	2	6	7	9	8	3	07/31/01 B1	Fujii <i>et al</i> .	424	448	08/11/99
	EW	6	2	6	8	1	2	0	07/31/01 B1	Platz <i>et al</i> .	435	2	10/19/99
	EX	6	2	7	1	3	5	9	08/07/01 B1	Norris <i>et al</i> .	536	23.1	04/14/99
	EY	6	2	7	3	9	0	4	08/14/01 B1	Chen et al.	607	88	03/02/99
	EZ	6	2	7	4	5	5	2	08/14/01 B1	Tamarkin <i>et al.</i>	514	12	11/10/97
	FA	6	2	8	1	6	1	1	08/28/01 B1	Chen et al.	310	171	04/11/00
	FB	6	3	0	7	1	4	7	10/23/01 B1	Bird et al.	136	263	04/18/00
	FC	6	3	1	6	6	5	2	11/13/01 B1	Steliou	556	42	06/06/95
	FD	6	3	1	9	2	7	3	11/20/01 B1	Chen et al.	607	88	12/16/99
	FE	6	3	1	9	4	8	8	11/20/01 B1	Licha <i>et al</i> .	424	9.6	04/09/98
	FF	6	3	3	1	1	7	5	12/18/01 B1	Goldenberg	604	522	07/06/98
	FG	6	3	3	1	7	4	4	12/18/01 81	Chen et al.	310	171	04/11/00
	FH	6	3	4	4	0	5	0	02/05/02 B1	Chen	607	88	12/21/98
TO O	FI	6	3	5	0	4	3	1	02/26/02 B1	Snow et al.	424	9.6	10/28/99

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FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.	CONFIRM NO.
	25886-0094	10/607,222	8140
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT	CUSTOMER N	10.
	Pandey et al.	24961	
STATEMENT	FILING DATE June 27, 2003	GROUP 1626	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		DC	CUM	ENT N				DATE	NAME	CLASS	SUB CLASS	FILING DATE
ncl	FJ	6	3	8	7	3	5	0	05/14/02 B2	Goldenberg	424	1.57	07/08/99
1	FK	6	4	0	6	2	9	7	06/18/02 B1	Raymond <i>et al.</i>	434	15	02/18/00
	FL	6	4	1	6	5	3	1	07/09/02 B2	Chen	607	89	06/24/98
	FM	6	4	8	2	5	1	7	11/19/02 B1	Anderson	428	402.24	08/16/00
	FN	6	4	8	9	3	1	4	12/03/02 B1	Ashley et al.	514	183	04/03/01
	FO	6	4	9	5	5	8	5	12/17/02 B2	Bellnier <i>et al.</i>	514	410	03/07/01
	FP	6	4	9	8	9	4	5	12/24/02 B1	Alfheim <i>et al.</i>	600	407	11/08/99
	FQ	6	5	0	0	8	1	6	12/31/02 B1	Ekimoto <i>et al.</i>	514	185	03/12/01
	FR	6	5	1	1	9	7	1	01/28/03 B1	Gorun	514	183	10/12/99
	FS	6	5	1	4	9	9	5	02/04/03 B1	Zaleski <i>et al.</i>	514	332	09/25/01
	FT	6	5	1	5	1	1	3	02/04/03 B2	Raymond et al.	534	15	02/18/00
	FU	6	5	2	0	6	6	9	02/18/03 B1	Chen et al.	362	545	06/19/00
	FV	6	5	2	4	5	5	2	02/25/03 B2	Klaveness <i>et al.</i>	424	1.85	02/20/01
	FW	6	5	2	5	0	8	8	02/25/03 B1	Nagano <i>et al</i> .	514	452	01/03/01
	FX	6	5	2	7	7	5	9	03/04/03 B1	Tachibana <i>et al.</i>	604	500	07/20/00
110	FY	6	5	3	4	0	4	0	03/18/03 B2	Pandey et al.	424	362	12/18/00

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DATE CONSIDERED 06/01/06

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Title: FLUORINATED PHOTOSENSITIZERS RELATED TO CHLORINS AND BACTERIOCHLORINS

FOR PHOTODYNAMIC THERAPY

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FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222 8140				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey et al.	CUSTOMER I 24961	NO.			
STATEMENT	FILING DATE June 27, 2003	GROUP 1626				

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		DO	CUM	ENT N	IUMBE	R		DATE	NAME	CLASS	SUB CLASS	FILING DATE	
114	FZ	6	5	4	0	9	8	0	04/01/03 B1	Blumenthal et al.	424	9.34	03/31/00	
1	GA	6	5	5	4	8	5	3	04/29/03 B2	Chen	607	88	07/20/01	
	GB	6	5	5	9	3	7	4	05/06/03 B2	Lindsey et al.	136	263	05/10/01	
	GC	6	5	6	6	5	1	7	05/20/03 B2	Miura et al.	540	145	06/06/01	
	GD	6	5	6	9	8	4	6	05/27/03 B1	Scherz et al.	514	185	09/18/01	
	GE	6	5	7	2	8	3	9	06/03/03 B2	Sugita et al.	424	9.5	03/09/01	
	GF	6	5	8	0	2	2	8	06/17/03 B1	Chen et al.	315	185R	08/22/00	
	GG	6	6	0	2	2	7	4	08/05/03 B1	Chen	607	88	03/18/99	
714	GH	R	E	2	8	8	1	9	05/18/76	Thompson	424	243	04/16/75	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL /	*Ref. Code		D	осим	ENT N	IUMBI	ER		DATE	COUNTRY	CLASS	SUB CLASS	Translat Yes	tion No
ner	GI °	0	0	1	5	2	9	6	03/23/00	PCT A1				
9	GJ	0	0	3	6	9	8	3	06/29/00	PCT A1				
	GK	0	0	4	1	7	2	5	07/20/00	PCT A2 -				
	GL	0	0	4	1	7	2	6	07/20/00	PCT A3 👞				
1.0	GM	0	0	4	1	7	2	7	07/20/00	PCT A1 =				
100	GN	0	0	4	1	7	6	8	07/20/00	PCT A1				

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Title: FLUORINATED PHOTOSENSITIZERS RELATED TO CHLORINS AND BACTERIOCHLORINS

FOR PHOTODYNAMIC THERAPY

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FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222	CONFIRM NO. 8140	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey <i>et al.</i>	CUSTOMER N 24961	NO.	
STATEMENT	FILING DATE June 27, 2003	GROUP 1626		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	CUM	ENT N	IUMBI	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	No
1708	GO	0	1	0	3	7	7	0	01/18/01	PCT A1 🖘				
ĺ	GP	0	1	0	5	3	1	6	01/25/01	PCT A1	_			
	GQ	0	1	1	5	6	9	4	03/08/01	PCT A1				
	GR	0	1	2	0	0	5	4	03/10/84	EP B1 -				
	GS	0	1	4	3	8	2	5	06/21/01	PCT A1 -				
	GT	0	1	5	1	0	8	7	07/19/01	PCT A2				
	GU	0	1	6	1	6	0	6	11/21/85	EP B1 🖚				
	GV	0	1	7	8	2	1	6	10/18/01	PCT A1 -				
	GW	0	1	7	8	4	5	8	10/18/01	PCT A1 -				
	GX	0	1	9	8	7	0	8	12/27/01	PCT A1 ~			_	
	GY	0	2	1	7	6	9	0	02/28/02	PCT A1 -				
	GZ	0	2	4	3	9	2	9	11/04/87	EP B1 -				
	НА	0	3	0	2	9	4	94	04/10/03	PCT A1 _				
	нв	0	3	0	5	2	7	93	06/26/03	PCT A2 -				
	нс	0	3	0	5	6	4	07	07/10/03	PCT A2 -				
	HD	0	3	0	6	1	6	96	07/31/03	PCT A2 -				
	HE	0	4	2	3	1	9	5	04/24/91	EP B1 -				
	HF	0	4	2	5	5	6	6	05/08/91	EP B1 ~				
	HG	0	4	5	0	1	4	9	10/09/91	EP 81 -				
	нн	0	4	6	8	9	9	7	02/05/92	EP 81 -				
	н	0	5	1	0	0	0	7	10/28/92	EP B1 -				
nd	HJ	0	6	8	₂	9	5	6	11/22/95	EP 81 -				

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FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222	CONFIRM NO. 8140	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey t al.	CUSTOMER N 24961	NO.	
STATEMENT	FILING DATE June 27, 2003	GROUP 1626		

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	OCUN	MENT	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Transi Yes	lation No
TW	нк	1	1	1	0	9	6	3	06/27/01	EP A2 -				
j	HL	1	1	3	1	1	0	0	09/12/01	EP 81				
	НМ	1	1	4	6	0	4	6	10/17/01	EP A2 -				
	HN	1	1	6	4	1	3	6	12/19/01	EP A1 -				
	но	1	2	3	8	6	6	6	09/11/02	EP A2 -				
	HP	1	2	5	6	5	8	6	11/13/02	EP A1 ~				
	НΩ	1	3	3	4	7	4	8	08/13/03	EP A1				
	HR	20	01	3	3	5	5	78	04/12/01	JP 🚤				X+
	HS	20	02	0	2	0	3	89	01/23/02	JP -				X+
	нт	20	02	3	2	5	8	53	11/12/02	JP ~				X+
	HU	20	03	1	4	6	9	89	05/21/03	JP -				x*
	HV	4	2	1	8	0	0	2	07/07/92	JP —				X+
	HW	6	1	0	5	9	2	1	04/19/94	JP _				X+
	нх	8	4	0	1	3	8	2	04/12/84	PCT A1 -				
	НҮ	ø	0	0	0	3	9	2	01/25/90	PCT A1.				
	HZ	9	0	0	0	8	9	5	02/08/90	PCT A1				
	IA	9	0	1	2	5	7	3	11/01/90	PCT A1				
	IB	9	1	1	0	4	7	4	07/25/91	PCT A1 ~				
	IC	9	3	r	. 3	7	6	9	07/22/93	PCT A1 -				
	ID	9	4	0	9	8	5	1	05/11/94	PCT A1 ~				
	ΙE	9	5	0	5	2	1	4	02/23/95	PCT A1 -				
TW	IF	9	5	3	2	2	0	6	11/30/95	PCT A1				

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DATE CONSIDERED Charles

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FORM PTO-1449 ATTY. DOCKET NO. SERIAL NO. CONFIRM NO. 25886-0094 10/607,222 8140 LIST OF PATENTS AND PUBLICATIONS FOR **APPLICANT** CUSTOMER NO. APPLICANT'S INFORMATION DISCLOSURE Pandey et al. 24961 **STATEMENT** FILING DATE **GROUP** June 27, 2003

1626

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		C	OCUN	MENT	NUME	BER		DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No
170	IG	9	6	3	7	2	5	5	11/28/96	PCT A1			
1	IH	9	7	3	2	5	2	0	09/12/97	PCT A1			
		9	7	3	2	8	8	5	09/12/97	PCT A1 ~			
	IJ	9	8	0	4	3	1	7	02/05/98	PCT A1 ~			
	IK	9	8	0	6	4	5	6	02/19/98	PCT A1			
	IL	9	- 8	~ 0	8	5	6	5	03/05/98	PCT A1 -			
	IM	9	8	1	4	2	4	3	04/09/98	PCT A1 👡			
	IN	9	8	2	4	3	7	1	06/11/98	PCT A1_			
	0	9	8	2	4	5	1	0	06/11/98	PCT A1 -			
	ΙP	9	8	3	2	4	9	1	07/30/98	PCT A1 -			
	Q	9	8	3	2	4	9	2	07/30/98	PCT A1			
	IR	9	8	3	2	4	9	3	07/30/98	PCT A1			
	IS	9	8	4	6	1	3	0	10/22/98	PCT A1			
	ΙΤ	9	8	5	0	0	3	4	11/12/98	PCT A1			
	IU	9	8	5	6	3	0	2	12/17/98	PCT A1			
	IV	9	9	1	8	8	7	9	04/22/99	PCT A1			
	IW	9	9	2	0	3	4	6	04/29/99	PCT A1			
	IX	9	9	3	9	7	6	9	08/12/99	PCT A1 _			
	ΙΥ	9	9	5	2	5	6	5	10/21/99	PCT A1 -			
	ΙZ	9	9	5	8	1	4	9	11/18/99	PCT A1			
	JA	9	9	6	6	9	8	8	12/29/99	PCT A1 -			
74	JB	9	9	6	7	2	4	В	12/29/99	PCT A1			

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FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222 8140		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey et al.	CUSTOMER I	10.	
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	OCUM	IENT I	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
	JC	9	9	6	7	2	4	9	12/29/99	PCT A1 -				

X* = An English language Derwent abstract is provided.
 X + = An English language equivalent is provided.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
17CP	JD	Bellnier et al., "Population pharmacokinetics of the photodynamic therapy agent 2-[1-hexyloxyethyl]-2-devinyl pyropheophorbide-a in cancer patients", Cancer Res., 63(8):1806-1813 (2003)
1	JE	Bellnier et al., "Design and construction of a light-delivery system for photodynamic therapy", Med. Phys., 26(8):1552-1558 (1999)
	JF	Bellnier et al., "The time course of cutaneous porphyrin photosensitization in the murine ear", Photochemistry and Photobiology, 49(3):369-372 (1989)
	JG	Bellnier et al., "Murine pharmacokinetics and antitumor efficacy of the photodynamic sensitizer 2-[1-hexyloxyethyl]-2-devinyl pyropheophorbide-a", <i>J Photochem Photobiol B.</i> 20(1):55-61 (1993)
	JH	Bellnier et al., "The validation of a new vascular damage assay for photodynamic therapy agents", Photochem Photobiol., 62(5):896-905 (1995)
	JI	Bellnier et al. "Protection of murine foot tissue and transplantable tumor against Photofrin-II-mediated photodynamic sensitization with WR-2721", Journal of Photochemistry and Photobiology B. Biology 4:219-225 (1989)
	7	Bellnier et al. "An assay for the quantitation of Photofrin in tissues and fluids", Photochem Photobiol. 66(2):237-244 (1997)
	JK	Bellnier et al., "Distribution and elimination of Photofrin II in mice", Photochemistry and Photobiology 50(2):221-228 (1989)
	JL	Bellnier et al., "Membrane lysis in Chinese hamster ovary cells treated with hemtoporphyrin derivative plus light", Photochem Photobiol. 36(1):43-47 (1982)
nd	ML	Bellnier et al., "A preliminary pharmacokinetic study of intravenous Photofrin in patients", J Clin Laser Med Surg., 14(5):311-4 (1996)

EXAMINER DATE CONSIDERED 06/02/04

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT CUSTOMER NO. 24961			
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			The Art (moldaling Author, Title, Date, Fertillent Fages, Etc.)
110	W .	JN	Bellnier et al., "Haematoporphyrin derivative photosensitization and gamma-radiation damage interaction in Chinese hamster ovary fibroblasts", Int J Radiat Biol Relat Stud Phys Chem Med. 50(4):659-664 (1986)
		70	Bernstein et al., "Photofrin photodynamic therapy for treatment of AIDS-related cutaneous Kaposi's sarcoma", AIDS, 13(13):1697-1704 (1999)
		JP	Box et al., "Radical ion saturation in some sulfur compounds x-irradiated at 4.2 degrees" K. Radiat Res. 51(1):10-14 (1972)
		Jā	Boyle et al., "Photobleaching of photofrin II as a means of eliminating skin photosensitivity", Photochemistry and Photobiology, 46(6):997-1001 (1987)
		JR	Brasseur <i>et al.</i> , "Photodynamic activities and skin photosensitivity of the <i>bis</i> (dimethylthexylsiloxy)silicon 2,3-naphthalocyanine in mice", <i>Photochemistry and Photobiology</i> 62(6):1058-1065 (1995)
		JS	Brennan <i>et al.</i> ,"Preparation of Bispecific Antibodies by Chemical Recombination of Monoclonal Immunoglobulin G ₁ Fragments", Science, 229: 81-83 (1985)
		JT	Bugelski et al., "Autoradiographic distribution of hematoporphyrin derivative in normal and tumor tissue of the mouse", Cancer Res., 41(11 Pt 1):4606-4612 (1981)
		JU	Chen et al., "Effect of meso-substituents on the osmium tetraoxide reaction and pinacol-pinacolone rearrangement of the corresponding vic-dihydroxyporphyrins", J Org Chem. 66(11):3930-3939 (2001)
		JV	Chen et al., "Bacteriopurpurinimides: highly stable and potent photosensitizers for photodynamic therapy", J. Med. Chem. 45:255-258 (2002)
		JW	Derwent Abstract Accession No. 9432597, for Japanese Patent Application JP 2003146989 published 05/21/2003, entitled "Pyropheophorbides and their use in photodynamic therapy".
		JX	Dimitroff et al., "Anti-angiogenic activity of selected receptor tyrosine kinase inhibitors, PD166285 and PD173074: implications for combination treatment with photodynamic therapy", <i>Investigational New Drugs</i> , <u>17</u> :121-135 (1999)
		JY	Dissous et al., Schistosoma Mansoni Surface Antigen Defined by a Rat Monoclonal IgG2a", J. Immunol. 129: 2232-2234 (1982)

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		There are the including Author, Title, Date, Pertinent Pages, Etc.)
na	JZ	Doiron et al., "Fluorescence bronchoscopy for detection of lung cancer", Chest, 76(1):27-32 (1979)
	KA	Dougherty TJ, "Transannular peroxides as radiation sensitizers", Radiat Res., <u>55(1)</u> :101-108 (1973)
	КВ	Dougherty TJ, "A brief history of clinical photodynamic therapy development at Roswell Park Cancer Institute", <i>J Clin Laser Med Surg.</i> 14(5):219-221 (1996)
	кс	Dougherty TJ, "Use of hematoporphyrin in photodynamic therapy", <i>J Photochem Photobiol B.</i> 8(4):439 (1991)
	KD	Dougherty TJ, "Photosensitizers: therapy and detection of malignant tumors", Photochemistry and Photobiology 45(6):879-889 (1987)
	KE	Dougherty TJ, "Activated dyes as antitumor agents", J Natl Cancer Inst. 52(4):1333-1336 (1974)
	KF	Dougherty TJ, "Photodynamic therapy", Photochem Photobiol., 58(6):895-900 (1993)
	KG	Dougherty TJ, "Photodynamic Therapy: Part II", Seminars in Surgical Oncology, 11:333-334 (1995)
	кн	Dougherty TJ, "Photodynamic therapy: status and potential", Oncology (Huntingt). 3(7):67-73; Discussion 74, 77-78 (1989)
	KI	Dougherty TJ, "Photoradiation therapy for cutaneous and subcutaneous malignancies", J. Invest Dermatol. 77(1):122-124 (1981)
	KJ	Dougherty TJ, "Photodynamic therapy (PDT) of malignant tumors", CRC Critical Reviews in Oncology/Hematology 2(2):83-116 (1984)
	KK	Dougherty TJ, "Photoradiation therapy", Urology, 23(3 Suppl):61-64 (1984)
	KL	Dougherty TJ, "Photosensitization of malignant tumors", Seminars in Surgical Oncology 2:24-37 (1986)
	КМ	Dougherty TJ, "Variability in hematoporphyrin derivative preparations", Cancer Res. 42(3):1188 (1982)
Til	KN	Dougherty TJ, "Photoradiation therapy for bronchogenic cancer", Chest, 81(3):265-266 (1982)

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey <i>et al.</i>	CUSTOMER NO. 24961		
STATEMENT	FILING DATE June 27, 2003	GROUP 1626		

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	0	0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
110	1	ко	Dougherty TJ, "Photodynamic therapynew approaches", Seminars in Surgical Oncology 5:6-16 (1989)
		KP	Dougherty TJ, "Hematoporphyrin as a photosensitizer of tumors", <i>Photochem Photobiol</i> . 38(3):377-379 (1983)
		ΚQ	Dougherty TJ, "Photodynamic therapy", Adv Exp Med Biol., 193:313-328 (1985)
		KR	Dougherty TJ, "Photodynamic therapy", Clinics in Chest Medicine, 6(2):219-236 (1985)
		KS	Dougherty TJ, "An update on photodynamic therapy applications", <i>J Clin Laser Med Surg.</i> 20(1):3-7 (2002)
		кт	Dougherty TJ, "Studies on the structure of porphyrins contained in Photofrin II" <i>Photochem Photobiol.</i> , 46(5):569-573 (1987)
		KU	Dougherty et al., "Energetics and efficiency of photoinactivation of murine tumor cells containing hematoporphyrin", Cancer Research 36:2330-2333 (1976)
		KV	Dougherty et al., "Photoradiation therapy. II. Cure of animal tumors with hematoporphyrin and light", Journal of the National Cancer Institute, 55(1):115-121 (1975)
		KW	Dougherty et al., "Photoradiation therapy for the treatment of malignant tumors", Cancer Res. 38(8):2628-2635 (1978)
		кх	Dougherty et al., "Photodynamic Therapy," Journal of the National Cancer Institute, 90(12):889-905 (1998)
		ΚY	Dougherty TJ, "Hematoporphyrin derivative for detection and treatment of cancer", J Surg Oncol. 15(3):209-210 (1980)
		ΚZ	Dougherty et al., "Photoradiation therapyclinical and drug advances", Adv Exp Med Biol. 160:3-13 (1983)
		LA	Dougherty et al., "Photoradiation in the treatment of recurrent breast carcinoma", J Natl Cancer Inst., 62(2):231-237 (1979)
		LB	Dougherty et al., "Cutaneous phototoxic occurrences in patients receiving Photofrin", Lasers Surg Med. 10(5):485-488 (1990)
100	φ	LC	Dougherty et al., "Interstitial photoradiation therapy for primary solid tumors in pet cats and dogs", Gancer Res. 41(2):401-404 (1981)
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170	LD	Dougherty, "Photodynamic therapy in gastrointestinal cancer", Lasers in Surgery and Medicine 12:114 (1992)
1	LE	Dougherty et al., "Characterization of intra-tumoral porphyrin following injection of hematoporphyrin derivative or its purified component", <i>Photochemistry and Photobiology</i> , 46(1):67-70 (1987)
	LF	Dougherty et al., "The role of the peripheral benzodiazepine receptor in photodynamic activity of certain pyropheophorbide ether photosensitizers: albumin site II as a surrogate marker for activity", <i>Photochem Photobiol.</i> , 76(1):91-97 (2002)
	LG	Dougherty TJ, "An overview of the status of photoradiation therapy", <i>Prog Clin Biol Res.</i> 170:75-87 (1984)
	LH	Dougherty et al., "Photodynamic therapy", Eur J Cancer. 28A(10):1734-1742 (1992)
	LI	Dougherty et al., "The structure of the active component of hematoporphyrin derivative", Prog Clin Biol Res., 170:301-314 (1984)
	LJ	Dougherty et al., "Of what value is a highly absorbing photosensitizer in PDT?" J Photochem Photobiol B., 8(2):223-225 (1991)
	LK	Douglass et al., "Intra-abdominal applications of hematoporphyrin photoradiation therapy", Adv Exp Med Biol., 160:15-21 (1983)
	LL	Farrell et al., "A diffusion theory model of spatially resolved, steady-state diffuse reflectance for the noninvasive determination of tissue optical properties in vivo", Med. Phys., 19(4):879-888 (1992)
	LM	Fingar et al., "Drug and light dose dependence of photodynamic therapy: a study of tumor cell clonogenicity and histologic changes", <i>Photochem Photobiol.</i> , 45(5):643-650 (1987)
	LN	Flock et al., "Monte Carlo Modeling of Light Propagation in Highly Scattering Tissues - I: Model Predictions and Comparison with Diffusion Theory," <i>IEEE Transactions on Biomedical Engineering</i> , 36(12):1162-1168 (1989)
	LO	Flock et al., "Monte Carlo Modeling of Light Propagation in Highly Scattering Tissues - II: Comparison with Measurements in Phantoms," <i>IEEE Transactions on Biomedical Engineering</i> , 36(12):1169-1173 (1989)

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Fukuzumi et al., "Photochemical and electrochemical properties of zinc chlorin-C60 dyad as compared to corresponding free-base chlorin-C60, free-base porphyrin-C60, and zinc porphyrin-C60 dyads", J Am Chem Soc., 123(43):10676-10683 (2001) LQ Glennie et al., "Preparation and Performance of Bispecific F(ab'y)2 Antibody Containing Thioether-Linked Fab'y Fragments", J. Immunol., 139:2367-2375 (1987) Gomer CJ et al., "Determination of [3H]- and [14C]hematoporphyrin derivative LR distribution in malignant and normal tissue", Cancer Res. 39(1):146-151 (1979) LS Graham et al., "Structure-activity relationship of new octaethylporphyrin-based benzochlorins as photosensitizers for photodynamic therapy", Photochem Photobiol. <u>77(5)</u>:561-566 (2003) LT Gryshuk et al., "A first comparative study of purpurinimide-based fluorinated vs. nonfluorinated photosensitizers for photodynamic therapy", Photochem Photobiol., <u>76(5)</u>:555-559 (2002) LU Gryzch et al., "In Vitro and In Vivo Effector Function of Rat IgG2a Monoclonal Anti-S. Masoni Antibodies", J. Immunol. 129: 2739-2743 (1982) LV Henderson et al., "Tumor destruction and kinetics of tumor cell death in two experimental mouse tumors following photodynamic therapy", Cancer Res., 45(2):572-576 (1985) Henderson et al., "Interaction of photodynamic therapy and hyperthermia: tumor LW response and cell survival studies after treatment of mice in vivo", Cancer Res., 45(12 Pt <u>1)</u>:6071-6077 (1985) LX Henderson et al., "Bacteriochlorophyll-a as photosensitizer for photodynamic treatment of transplantable murine tumors", J. Photochem. Photobiol. B: Biol. 10:303-313 (1991) LY Henderson et al., "An in vivo quantitative structure-activity relationship for a congeneric series of pyropheophorbide derivatives as photosensitizers for photodynamic therapy", Cancer Res. 57(18):4000-4007 (1997) LZ Henderson et al., "How does photodynamic therapy work?" Photochem Photobiol. <u>55(1)</u>:145-157 (1992) MA Henderson et al., "Aspects of the cellular uptake and retention of hematoporphyrin derivative and their correlation with the biological response to PRT in vitro", Adv Exp Med Biol., 160:129-38 (1983)

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170	T.D	МВ	Henderson et al., "Studies on the mechanism of tumor destruction by photoradiation therapy", Prog Clin Biol Res. 170:601-612 (1984)
	МС		Herrera-Ornelas <i>et al.</i> , "Photodynamic therapy in patients with colorectal cancer", Cancer, <u>57(3)</u> :677-684 (1986)
	MD		Ho et al., "Some components of the tumor-localizing fraction of hematoporphyrin derivative", <i>Photochemistry and Photobiology</i> , <u>52(6)</u> :1085-1088 (1990)
		ME	Ho et al., "Carbon-14 labeling and biological activity of the tumor-localizing derivative of hematoporphyrin", Photochem Photobiol. 48(4):445-449 (1988)
	-	MF	Ho et al., "Activity and physicochemical properties of Photofrin", Photochem Photobiol. 54(1):83-87 (1991)
		MG	IUPAC-IUB Commission on Biochemical Nomenclature (see, Biochem. 11: 942-944 (1972)
	мн		Karpovsky et al., "Production of Target-Specific Effector Cells Using Hetero-Cross-Linked Aggregates Containing Anti-Target Cell and Anti-Fcy", J. Exp. Med. 160:1686 (1984)
			Kasper <i>et al.</i> , "Isolation and Characterization of A Monoclonal Antibody-Resistant Antigenic Mutant of <i>Toxoplasma Gondii</i> ", <i>J. Immunol.</i> 129: 1694-1699 (1982)
		WJ	Kessel et al., "Photosensitization with bacteriochlorins", Photochem Photobiol., 58(2):200-203 (1993)
		МК	Kessel et al., "Photosensitization by diporphyrins joined via methylene bridges", Photochemistry and Photobiology 48(6):741-744 (1988)
		ML	Kessel et al., "Photosensitization by synthetic diporphyrins and dichlorins in vivo and in vitro", Photochemistry and Photobiology 53(4):475-479 (1991)
		ММ	Khan et al., "An evaluation of photodynamic therapy in the management of cutaneous metastases of breast cancer", Eur J Cancer. 29A(12):1686-1690 (1993)
		MN	Kher et al., "Mechano and thermoluminescence of gamma-irradiated CaSO4:Dy phosphor.", Radiat Prot Dosimetry. 100(1-4):281-284 (2002)
	d	МО	Kozyrev et al., "Thermolysis of vic-dihydroxybacteriochlorins: a new approach for the synthesis of chlorin-chlorin and chlorin-porphyrin dimers", Org Lett. 1(8):1193-1196 (1999)
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	0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
714	MP	Lele et al., "Photodynamic therapy in gynecologic malignancies", Gynecol Oncol. 34(3):350-352 (1989)
1	ма	Li et al., "A novel synthetic route to fused propenochlorin and benzochlorin photodynamic therapy probes", Chem Commun (Camb). (11):1172-1173 (2002)
	MR	Li et al., "Thermolysis of vic-dihydroxybacteriochlorins: effect of the nature of substrates in directing the formation of chlorin-chlorin dimers with fixed and flexible orientations and their preliminary in vitro photosensitizing efficacy", <i>J Org Chem.</i> 68(10):3762-3772 (2003)
	MS	Li et al., "A simple and efficient approach for the synthesis of fluorinated and nonfluorinated octaethylporphyrin-based benzochlorins with variable lipophilicity, their in vivo tumor uptake, and the preliminary in vitro photosensitizing efficacy", <i>J Org Chem</i> . 66(4):1316-1325 (2001)
	МТ	Liu, MA <i>et al.</i> ,"Heteroantibody duplexes target cells for lysis by cytotoxic T lymphocytes", <i>Proc. Natl. Acad. Sci. USA</i> <u>82</u> :8648-8652 (1985)
	мυ	Lobel <i>et al.</i> , "2-[1-hexyloxyethyl]-2-devinyl pyropheophorbide-a (HPPH) in a nude rat glioma model: implications for photodynamic therapy", <i>Lasers Surg Med.</i> 29(5):397-405 (2001)
	MV	MacDonald et al., "Subcellular localization patterns and their relationship to photodynamic activity of pyropheophorbide-a derivatives", <i>Photochem Photobiol.</i> 70(5):789-797 (1999)
	MW	Mang et al., "Photobleaching of porphyrins used in photodynamic therapy and implications for therapy", Photochemistry and Photobiology, 45(4):501-506 (1987)
	МХ	Mang et al., "Time and sequence dependent influence of in vitro photodynamic therapy (PDT) survival by hyperthermia", Photochem Photobiol., 42(5):533-540 (1985)
	MY	Mang et al., "Fluorescence detection of tumors. Early diagnosis of microscopic lesions in preclinical studies", Cancer 71(1):269-276 (1993)
	}	Merrifield et al., "Design and synthesis of antimicrobial peptides", Ciba Foundation Symposium, 186:5-20 (1994)
na	MZ	Mettath et al., "DNA interaction and photocleavage properties of porphyrins containing cationic substituents at the peripheral position" Bioconjugate Chem., 10:94-102 (1999)
		TVD.

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PO	7 NA	Mettath et al., "Effect of substituents in directing the formation of benzochlorins and isobacteriochlorins in porphyrin and chlorin systems", Organic Letters 1(12):1961-1964 (1999)
	NB	Milstein et al., "Hybrid hybridomas and the production of bi-specific monoclonal antibodies", Immunol. Today <u>5</u> :299-305 (1984)
	NC	Moesta et al., "Protoporphyrin IX occurs naturally in colorectal cancers and their metastases" Cancer Research, 61:991-999 (2001)
	ND	Morgan et al., "Comparison of photodynamic targets in a carcinoma cell line and its mitochondrial DNA-deficient derivative", Photochemistry and Photobiology, 71(6):747-757 (2000)
	NE	Morrison and Boyd, <i>Organic Chemistry</i> , (5th Ed., 1987), Chapter 13, entitled "Aromaticity," pages 477-497
	NF	Moskal et al., "Operation and photodynamic therapy for pleural mesothelioma: 6-year follow-up", Ann Thorac Surg., 66:1128-1133 (1998)
		Nambisan et al., "Intraoperative photodynamic therapy for retroperitoneal sarcomas", Cancer, 61(6):1248-1252 (1988)
	NI	Niedre et al., "Direct Near-infrared Luminescence Detection of Singlet Oxygen Generated by Photodynamic Therapy in Cell In Vitro and Tissues In Vivo", Photochemistry and Photobiology, 75(4):382-391 (2002)
	NI	Nogrady, Medicinal Chemistry A Biochemical Approach, Oxford University Press, New York, pages 388-392 (1985)
	NJ	North et al. "Viral Inactivation in Blood and Red Cell Concentrates with Benzoporphyrin Derivative", Blood Cells 18:129-40 (1992)
	NK	Nseyo et al., "Study of factors mediating effect of photodynamic therapy on bladder in canine bladder model", <i>Urology</i> , 32(1):41-45 (1988)
	/ NL	Nseyo et al., "Whole bladder photodynamic therapy for transitional cell carcinoma of bladder", Urology, 26(3):274-280 (1985)
MG	NN	Nseyo et al., "Photodynamic therapy in the management of resistant lower urinary tract carcinoma", Cancer 60:3113-3119 (1987)

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77 P	NN	Nseyo et al., "Photodynamic therapy (PDT) in the treatment of patients with resistant superficial bladder cancer: a long-term experience", Journal of Clinical Laser Medicine Surgery, 16(1):61-68 (1998)
	NO	Nseyo et al., "Dihematoporphyrin ether clearance in primate bladders", <i>The Journal of Urology</i> , 136:1363-1366 (1986)
	NP	Nseyo et al., "Experimental photodynamic treatment of canine bladder", J Urol., 133(2):311-315 (1985)
	NQ	Paajanen et al., "Proton Relaxation Enhancement of Albumin, Immunoglobulin G, and Fibrinogen Labeled with Gd-DTPA", Magn. Reson. Med 13: 38-43 (1990)
	NR	Pandey et al., "Synthesis and photosensitizing activity of a di-porphyrin ether", Chemical Abstracts, 109:320 (1988)
	NS	Pandey et al., "Synthesis, photophysical properties, in vivo photosensitizing efficacy, and human serum albumin binding properties of some novel bacteriochlorins", J. Med. Chem. 40(17):2770-2779 (1997)
	NT	Pandey et al., "Chlorin and porphyrin derivatives as potential photosensitizers in photodynamic therapy", <i>Photochemistry and Photobiology</i> 53(1):65-72 (1991)
	NU	Pandey et al. (1999)
	NV	Pandey et al., "Syntheses and photosensitizing activity of porphyrins joined with ester linkages", Cancer Research 49:2042-2047 (1989)
	NW	Pandey et al., "Evaluation of new benzoporphyrin derivatives with enhanced PDT efficacy", Photochemistry and Photobiology 62(4):764-768 (1995)
	NX	Pandey et al., "Alkyl ether analogs of chlorophyll-a derivatives: Part 1. Synthesis, photophysical properties and photodynamic efficacy", <i>Photochemistry and Photobiology</i> 64(1):194-204 (1996)
	NY	Pandey et al., "Porphyrin dimers as photosensitizers in photodynamic therapy", J. Med. Chem. 33:2032-2038 (1990)
	NZ	Pandey et al., "Fast atom bombardment mass spectral analyses of Photofrin II and its synthetic analogs", Biomedical and Environmental Mass Spectrometry 19:405-414 (1990)

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Pandey et al., "Comparative in vivo sensitizing efficacy of porphyrin and chlorin dimers joined with ester, ether, carbon-carbon or amide bonds" Journal of Molecular Recognition 9:118-122 (1996) Pierce Chemical Co. catalog, pages O-90 to O-110 (1995, Pierce Chemical Co., 3747 N. OB Meridian Rd., Rockford III., 61105, U.S.A.), Polin, R.A. "Monoclonal Antibodies Against Microorganisms", Eur. J. Clin. Microbiol., OC 3(5): 387-398 (1984) Potter et al., "The theory of photodynamic therapy dosimetry: consequences of photo-OD destruction of sensitizer", Photochemistry and Photobiology 46(1):97-101 (1987) Potter et al., "Photofrin II levels by in vivo fluorescence photometry", Prog Clin Biol Res. OE 170:177-186 (1984) Potter et al., "Parabolic quantitative structure-activity relationships and photodynamic OF therapy: application of a three-compartment model with clearance to the in vivo quantitative structure-activity relationships of a congeneric series of pyropheophorbide derivatives used as photosensitizers for photodynamic therapy", Photochemistry and Photobiology 70(5):781-788 (1999) Prakash, G.K.S. and A.K. Yudin, "Perfluoralkylation with Organosilicon Reagents", Chem Rev., 97:757-786 (1997) Pykett, "NMR Imaging in Medicine", Scientific American 246: 78 (1982) OG Rakestraw, et al., "Antibody-targeted photolysis: In vitro studies with Sn(IV) chlorin e6 OH covalently bound to monoclonal antibodies using a modified dextran carrier", Proc. Nad. Acad. Sci. USA 87: 4217-4221 (1990) Ris et al., "Absence of rhodamine 123-photochemotoxicity in human tumor xenografts", OI Lasers Surg Med. 13(1):40-44 (1993) Roy et al., "Ceramic-Based Nanoparticles Entrapping Water-Insoluble Photosensitizing OJ Anticancer Drugs: A Novel Drug-Carrier System for Photodynamic Therapy", J Am Chem

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Runfola et al., "Photodynamic therapy for residual neoplasms of the perianal skin", Dis

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M	OL	Runge et al., "Paramagnetic Agents for Contrast-Enhanced NMR Imaging: A Review", Am. J. Radiol. 141: 1209 (1983)				
	ОМ	Rungta et al., "Purpurinimides as photosensitizers: effect of the presence and position of the substituents in the in vivo photodynamic efficacy", Bioorg Med Chem Lett. 10(13):1463-1466 (2000)				
	ON	Schuh et al., "Photodynamic therapy for palliation of locally recurrent breast carcinoma", Journal of Clinical Oncology 5(11):1766-1770 (1987)				
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FORM PTO-1449	ATTY. DOCKET NO. 25886-0094	SERIAL NO. 10/607,222	CONFIRM NO. 8140
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Pandey et al.	CUSTOMER NO. 24961	
STATEMENT	FILING DATE June 27, 2003	GROUP 1626	

^{*} If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

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DATE CONSIDERED

Title: FLUORINATED PHOTOSENSITIZERS RELATED TO CHLORINS AND BACTERIOCHLORINS

FOR PHOTODYNAMIC THERAPY

MAY 2 5 2004 19

Substitute Form PTO-1449 U.S. Separtment of Commerce (Modified)

Attorney's Docket No. 17105-058001 (25886-0094)

Application No. 10/607,922

List of Patents and Publications f r Applicant's Information Disclosure Stat m nt

Applicant

R. Pandey et al.

Filing Date
June 27, 2003

Group Art Unit 1621

(37 CFR §1.98(b))

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U.S. Patent Documents								
Exan Init	niner tial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
\mathcal{I}	GP	A	RE37,180	05/15/01	Mori et al.	514	410	04/29/99
7		В	2001/0022970	09/20/01	Dees et al.	424	178.1	03/06/01
		С	2002/0033192	03/21/02	Lindsey et al.	136	263	05/10/01
		D	2002/0049247	04/25/02	Chen	514	410	01/12/01
		E	2002/0087205	07/04/02	Chen	607	88	07/13/01
		F	2002/0127224	09/12/02	Chen	424	130.1	03/04/02
		G	2002/0127230	09/12/02	Chen	424	178.1	07/13/01
		Н	2002/0128303	09/12/02	Bellnier et al.	514	410	03/07/01
		I	2002/0198576	12/26/02	Chen et al.	607	88	08/01/02
		J	2003/0018371	01/23/03	Chen	607	88	07/13/01
		K	2003/0030342	02/13//03	Chen et al.	310	102	12/13/01
		L	2003/0109813	06/12/03	Chen	601	2	12/10/02
		М	2003/0114434	06/19/03	Chen et al.	514	1.85	08/31/99
		N	2003/0167033	09/04/03	Chen et al.	604	20	01/23/03
		0	2003/0208249	11/06/03	Chen	607	88	04/08/03
		P	2004/0044197	03/04/04	Pandey et al.	540	140	06/27/03
		Q	2004/0044198	03/04/04	Pandey et al.	540	145	06/02/03
		R	4,521,762	06/04/85	Kapral	340	347	12/20/82
		S	4,577,636	03/25/86	Spears	128	654	01/27/84
		T	4,656,186	04/07/87	Bommer et al.	514	410	04/07/87
		U	4,675,338	06/23/87	Bommer et al.	514	410	07/18/84
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		w	4,753,958	06/28/88	Weinstein et al.	514	410	06/25/86
		х	4,861,876	08/29/89	Kessel	540	145	11/26/86
		Y	4,878,891	11/07/89	Judy et al.	604	5	06/25/87
		Z	4,925,736	05/15/90	Shikowitz	424	449	07/06/88
		AA	4,935,498	06/19/90	Sessler et al.	534	15	03/06/89
		AB	4,957,481	09/18/90	Gatenby	604	20	07/03/89
-	00	AC	4,997,639	03/05/91	Aizawa et al.	424	9	11/27/89
110		AD	5,004,811	04/02/91	Bommer et al.	540	145	12/24/87

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(37 CFR §1.98	(D))		U.S. Pater	nt Documents		1021		
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TU	AE	5,028,594	07/02/91	Carson	514	23	12/27/88	
1	AF	5,041,078	08/20/91	Matthes et al.	604	4	12/21/89	
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	AM	5,308,861	05/03/94	Aizawa et al.	514	410	04/29/92	
	AN	5,330,741	07/19/94	Smith et al.	424	9	02/24/92	
	AO	5,368,841	11/29/94	Trauner et al.	424	9	02/11/93	
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	AV	5,549,660	08/27/96	Mendes et al.	607	088	11/12/92	
	AW	5,556,612	09/17/96	Anderson et al.	424	59	03/15/94	
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		d Publications n Disclosure St	f r Applicant's	Applicant R. Pandey et al.			
(37 CFR §1.98	(b))			Filing Date June 27, 2003		Group Art Unit	
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7/0	BI	5,942,534	08/24/99	Trauner et al.	514	410	10/10/97
. 1	BJ	5,944,748	08/31/99	Mager et al.	607	88	07/25/97
	BK	5,976,535	11/02/99	Fritzberg et al.	424	182.1	06/06/95
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	BN	6,048,359	04/11/00	Biel	607	92	08/25/98
	во	6,063,108	05/16/00	Salansky et al.	607	89	01/06/97
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9	BX	6,624,187	09/23/03	Pandey et al.	514	410	06/12/00
M	BY	6,657,351	12/02/03	Chen et al.	310	171	07/20/01
161							

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1	CA	01/74398 A1	10/11/01	PCT					
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			(25886-0094)	
List of Patents and Publications f r Applicant's			Applicant	
Information Disclosur Statement			R. Pandey et al.	
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Other Documents (include Author, 1				
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	7	Certified English Translation of: Fischer, H. et al., "[On the Bromination of the Esters of		
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- 1	CL	Derwent English Abstract, Accession No. 1996-475153, citing Russian Patent RU 2054944 C, published February 27, 1996, "Production of purpurin-18 for treatment of tumours – comprises		
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